

09/912,862

Filing Date: July 24, 2001

Group Art Unit: 3731

(Use several sheets if necessary)

U.S. Patent Documents

RECEIVED
NOV - 1 2002
TECHNOLOGY CENTER R3700

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

#7

| | | |
|---|-----------------------------------|---------------------------|
| FORM PTO-1449 | Atty. Docket No.: 1001.1507101 | Serial No.: 09/912,862 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Krolik et al. | |
| | Filing Date | Group Art: |
| | July 24, 2001 | 3763 |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|------------|-----------------|-------|-----------|----------------------------|
| VP | AA 5,893,869 | 04/13/1999 | Barnhart et al. | 606 | 200 | |
| AB | 6,152,947 | 11/28/2000 | Ambrisco et al. | 606 | 200 | |
| AC | | | | | | |
| AD | | | | | | |
| AE | | | | | | |
| AF | | | | | | |
| AG | | | | | | |
| AH | | | | | | |
| AI | | | | | | |
| AJ | | | | | | |
| AK | | | | | | |

RECEIVED
 OCT - 1 2001
 TO CIRCULAR ROOM

FOREIGN PATENT DOCUMENTS

| Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|--------------|------|---------|-------|-----------|--------------------|
| AL | | | | | |
| AM | | | | | |
| AN | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|----|--|
| AO | |
| AP | |
| AQ | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



| | | | |
|---|--|-----------------------------------|---------------------------|
| FORM PTO-1449 | | Atty. Docket No.: 1001.1507101 | Serial No.: 09/912,862 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | | Applicant: Krolik et al. | |
| | | Filing Date: July 25, 2001 | Group Art: Unknown |

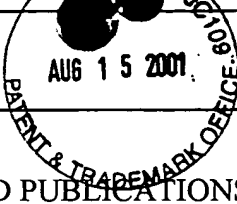
PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|---------------------|--------------|----------|-----------------|-------|--------------|-------------------------------|
| ✓ | 6,214,026 | 04/10/01 | Lepak et al. | 606 | 200 | |
| | 6,203,561 | 03/20/01 | Ramee et al. | 606 | 200 | |
| | 6,179,861 | 01/30/01 | Khosravi et al. | 606 | 200 | |
| | 6,179,859 | 01/30/01 | Bates et al. | 606 | 200 | |
| | 6,179,851 | 01/30/01 | Barbut et al. | 606 | 159 | |
| | 6,171,327 | 01/09/01 | Daniel et al. | 606 | 200 | |
| | 6,168,579 | 01/02/01 | Tsugita | 604 | 96.01 | |
| | 6,165,200 | 12/26/00 | Tsugita et al. | 606 | 200 | |
| | 6,152,946 | 11/28/00 | Broome et al. | 606 | 200 | |
| | 6,142,987 | 11/07/00 | Tsugita | 604 | 500 | |
| | 6,129,739 | 10/10/00 | Khosravi | 606 | 200 | |
| | 6,086,605 | 07/11/00 | Barbut et al. | 606 | 200 | |
| | 6,068,645 | 05/30/00 | Tu | 606 | 200 | |
| | 6,059,814 | 05/09/00 | Ladd | 606 | 200 | |
| | 6,053,932 | 04/25/00 | Daniel et al. | 606 | 200 | |
| | 6,051,014 | 04/18/00 | Jang | 606 | 200 | |
| | 6,027,520 | 02/22/00 | Tsugita et al. | 606 | 200 | |
| | 6,013,085 | 01/11/00 | Howard | 606 | 108 | |
| | 6,010,522 | 01/04/00 | Barbut et al. | 606 | 200 | |
| | 6,007,557 | 12/28/99 | Ambrisco et al. | 606 | 200 | |
| | 6,001,118 | 12/14/99 | Daniel et al. | 606 | 200 | |
| | 5,997,557 | 12/07/99 | Barbut et al. | 606 | 159 | |
| | 5,993,469 | 11/30/99 | McKenzie et al. | 606 | 159 | |
| | 5,989,281 | 11/23/99 | Barbut et al. | 606 | 200 | |
| | 5,989,271 | 11/23/99 | Bonnette et al. | 606 | 159 | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | | |
|---|--|-----------------------------------|---------------------------|
| FORM PTO-1449 |  | Atty. Docket No.: 1001.1507101 | Serial No.: 09/912,862 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Krolik et al. | | |
| | Filing Date: July 25, 2001 | Group Art: Unknown | |

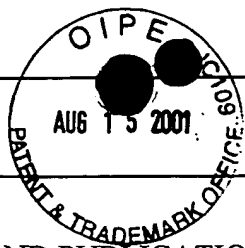
| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|---------------------|--------------|----------|------------------|-------|--------------|-------------------------------|
| JP | 5,989,210 | 11/23/99 | Morris et al. | 604 | 22 | |
| | 5,976,172 | 11/02/99 | Homsma et al. | 606 | 200 | |
| | 5,954,745 | 09/21/99 | Gertler et al. | 606 | 200 | |
| | 5,951,585 | 09/14/99 | Cathcart et al. | 606 | 198 | |
| | 5,947,995 | 09/07/99 | Samuels | 606 | 200 | |
| | 5,941,896 | 08/24/99 | Kerr | 606 | 200 | |
| | 5,941,869 | 08/24/99 | Patterson et al. | 604 | 508 | |
| | 5,938,645 | 08/17/99 | Gordon | 604 | 264 | |
| | 5,935,139 | 08/10/99 | Bates | 606 | 159 | |
| | 5,934,284 | 08/10/99 | Plaia et al. | 128 | 898 | |
| | 5,928,218 | 07/27/99 | Gelbfish | 604 | 540 | |
| | 5,928,203 | 07/27/99 | Davey et al. | 604 | 247 | |
| | 5,925,063 | 07/20/99 | Khosravi | 606 | 200 | |
| | 5,925,062 | 07/20/99 | Purdy | 606 | 200 | |
| | 5,925,060 | 07/20/99 | Forber | 606 | 191 | |
| | 5,925,016 | 07/20/99 | Chornenky et al. | 604 | 96 | |
| | 5,916,193 | 06/29/99 | Stevens et al. | 604 | 53 | |
| | 5,911,734 | 06/15/99 | Tsugita et al. | 606 | 200 | |
| | 5,910,154 | 06/08/99 | Tsugita et al. | 606 | 200 | |
| | 5,908,435 | 06/01/99 | Samuels | 606 | 200 | |
| | 5,906,618 | 05/25/99 | Larson, III | 606 | 108 | |
| | 5,902,263 | 05/11/99 | Patterson et al. | 604 | 22 | |
| | 5,895,399 | 04/20/99 | Barbut et al. | 606 | 159 | |
| | 5,893,867 | 04/13/99 | Bagaoisan et al. | 606 | 198 | |
| | 5,876,367 | 03/02/99 | Kaganov et al. | 604 | 8 | |
| GP | 5,848,964 | 12/15/98 | Samuels | 600 | 200 | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | |
|---|-----------------------------------|---------------------------|
| FORM PTO-1449 | Atty. Docket No.: 1001.1507101 | Serial No.: 09/912,862 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Krolik et al. | |
| | Filing Date: July 25, 2001 | Group Art: Unknown |



| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|----------|--------------------|-------|-----------|----------------------------|
| <i>SW</i> | 5,846,260 | 12/08/98 | Maahs | 606 | 200 | |
| | 5,833,650 | 11/10/98 | Imran | 604 | 53 | |
| | 5,833,644 | 11/10/98 | Zadno-Azizi et al. | 604 | 52 | |
| | 5,827,324 | 10/27/98 | Cassell et al. | 606 | 200 | |
| | 5,817,102 | 10/06/98 | Johnson et al. | 606 | 108 | |
| | 5,814,064 | 09/29/98 | Daniel et al. | 606 | 200 | |
| | 5,810,874 | 09/22/98 | Lefebvre | 606 | 200 | |
| | 5,800,525 | 09/01/98 | Bachinski et al. | 623 | 1 | |
| | 5,800,457 | 09/01/98 | Gelbfish | 606 | 200 | |
| | 5,797,952 | 08/25/98 | Klein | 606 | 198 | |
| | 5,795,322 | 08/18/98 | Boudewijn | 604 | 22 | |
| | 5,792,300 | 08/11/98 | Inderbitzen et al. | 156 | 244.13 | |
| | 5,779,716 | 07/14/98 | Cano et al. | 606 | 114 | |
| | 5,769,816 | 06/23/98 | Barbut et al. | 604 | 96 | |
| | 5,749,848 | 05/12/98 | Jang et al. | 604 | 53 | |
| | 5,746,758 | 05/05/98 | Nordgren et al. | 606 | 159 | |
| | 5,728,066 | 03/17/98 | Daneshvar | 604 | 96 | |
| | 5,720,764 | 02/24/98 | Naderlinger | 606 | 200 | |
| | 5,709,704 | 01/20/98 | Nott et al. | 606 | 200 | |
| | 5,695,519 | 12/09/97 | Summers et al. | 606 | 200 | |
| | 5,669,933 | 09/23/97 | Simon et al. | 600 | 200 | |
| | 5,662,671 | 09/02/97 | Barbut et al. | 606 | 170 | |
| | 5,658,296 | 08/19/97 | Bates et al. | 606 | 127 | |
| | 5,634,897 | 06/03/97 | Dance et al. | 604 | 35 | |
| | 5,569,275 | 10/29/96 | Kotula et al. | 606 | 159 | |
| | 5,569,274 | 10/29/96 | Rapacki et al. | 606 | 158 | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | |
|---|-----------------------------------|---------------------------|
| FORM PTO-1449 | Atty. Docket No.: 1001.1507101 | Serial No.: 09/912,862 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Krolik et al. | |
| | Filing Date: July 25, 2001 | Group Art: Unknown |

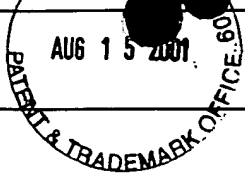


| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|----------|-------------------|-------|-----------|----------------------------|
| UP | 5,562,724 | 10/08/96 | Vowerk et al. | 623 | 1 | |
| | 5,549,626 | 08/27/96 | Miller et al. | 606 | 200 | |
| | 5,540,707 | 07/30/96 | Ressemann et al. | 606 | 159 | |
| | 5,536,242 | 07/16/96 | Willard et al. | 604 | 30 | |
| | 5,527,354 | 06/18/96 | Fontaine et al. | 623 | 1 | |
| | 5,512,044 | 04/30/96 | Duer | 604 | 22 | |
| | 5,507,767 | 04/16/96 | Maeda et al. | 606 | 198 | |
| | 5,484,418 | 01/16/96 | Quiachon et al. | 604 | 167 | |
| | 5,476,104 | 12/19/95 | Sheahon | 128 | 757 | |
| | 5,462,529 | 10/31/95 | Simpson et al. | 604 | 101 | |
| | 5,456,667 | 10/10/95 | Ham et al. | 604 | 107 | |
| | 5,449,372 | 09/12/95 | Schmaltz et al. | 606 | 198 | |
| | 5,443,498 | 08/22/95 | Fontaine | 623 | 1 | |
| | 5,425,765 | 06/20/95 | Tiefenbrun et al. | 623 | 12 | |
| | 5,423,885 | 06/13/95 | Williams | 623 | 1 | |
| | 5,423,742 | 06/13/95 | Theron | 604 | 28 | |
| | 5,421,832 | 06/06/95 | Lefebvre | 604 | 53 | |
| | 5,419,774 | 05/30/95 | Willard et al. | 604 | 22 | |
| | 5,415,630 | 05/16/95 | Gory et al. | 604 | 53 | |
| | 5,409,454 | 04/25/95 | Fischell et al. | 604 | 22 | |
| | 5,405,377 | 04/11/95 | Cragg | 623 | 1 | |
| | 5,397,345 | 03/14/95 | Lazerus | 623 | 1 | |
| | 5,395,349 | 03/07/95 | Quiachon et al. | 604 | 248 | |
| | 5,387,235 | 02/07/95 | Chuter | 623 | 1 | |
| | 5,383,926 | 01/24/95 | Lock et al. | 623 | 1 | |
| | 5,383,892 | 01/24/95 | Cardon et al. | 606 | 198 | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | |
|--|-----------------------------------|---------------------------|
| FORM PTO-1449  | Atty. Docket No.: 1001.1507101 | Serial No.: 09/912,862 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Krolik et al. | |
| | Filing Date: July 25, 2001 | Group Art: Unknown |

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|----------|----------------------|-------|-----------|----------------------------|
| ✓ | 5,383,887 | 01/24/95 | Nadal | 606 | 200 | |
| | 5,376,100 | 12/27/94 | Lefebvre | 606 | 180 | |
| | 5,370,683 | 12/06/94 | Fontaine | 623 | 1 | |
| | 5,370,657 | 12/06/94 | Irie | 606 | 200 | |
| | 5,366,473 | 11/22/94 | Winston et al. | 606 | 198 | |
| | 5,366,464 | 11/22/94 | Belknap | 606 | 159 | |
| | 5,356,423 | 10/18/94 | Tihon et al. | 606 | 194 | |
| | 5,354,310 | 10/11/94 | Garnic et al. | 606 | 198 | |
| | 5,350,398 | 09/27/94 | Pavcnik et al. | 606 | 200 | |
| | 5,330,500 | 07/19/94 | Song | 606 | 198 | |
| | 5,330,484 | 07/19/94 | Gunther | 606 | 128 | |
| | 5,329,942 | 07/19/94 | Gunther et al. | 128 | 898 | |
| | 5,318,576 | 06/07/94 | Plassche, Jr. et al. | 606 | 159 | |
| | 5,314,472 | 05/24/94 | Fontaine | 623 | 12 | |
| | 5,314,444 | 05/24/94 | Gianturco | 606 | 195 | |
| | 5,306,286 | 04/26/94 | Stack et al. | 606 | 198 | |
| | 5,224,953 | 07/06/93 | Morgentaler | 606 | 192 | |
| | 5,195,955 | 03/23/93 | Don Michael | 604 | 22 | |
| | 5,190,546 | 03/02/93 | Jervis | 606 | 78 | |
| | 5,171,233 | 12/15/92 | Amplatz et al. | 604 | 281 | |
| | 5,160,342 | 11/03/92 | Reger et al. | 606 | 200 | |
| | 5,152,777 | 10/06/92 | Goldberg et al. | 606 | 200 | |
| | 5,152,771 | 10/06/92 | Sabbaghian et al. | 606 | 159 | |
| | 5,135,531 | 08/04/92 | Shiber | 606 | 159 | |
| | 5,133,733 | 07/28/92 | Rasmussen et al. | 606 | 200 | |
| 6 | 5,108,419 | 04/28/92 | Reger et al. | 606 | 200 | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



| | | | |
|---|--|-----------------------------------|---------------------------|
| FORM PTO-1449 | | Atty. Docket No.: 1001.1507101 | Serial No.: 09/912,862 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | | Applicant: Krolik et al. | |
| | | Filing Date: July 25, 2001 | Group Art: Unknown |

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|---------------------|--------------|----------|---------------------|-------|--------------|-------------------------------|
| VH | 5,104,399 | 04/14/92 | Lazarus | 623 | 1 | |
| | 5,102,415 | 04/07/92 | Guenther et al. | 606 | 159 | |
| | 5,100,425 | 03/31/92 | Fischell et al. | 606 | 159 | |
| | 5,100,424 | 03/31/92 | Jang et al. | 606 | 159 | |
| | 5,100,423 | 03/31/92 | Fearnot | 606 | 15 | |
| | 5,087,265 | 02/11/92 | Summers | 606 | 159 | |
| | 5,085,662 | 02/04/92 | Willard | 606 | 159 | |
| | 5,071,425 | 12/10/91 | Gifford, III et al. | 606 | 159 | |
| | 5,071,407 | 12/10/91 | Termin et al. | 604 | 104 | |
| | 5,053,044 | 10/01/91 | Mueller et al. | 606 | 159 | |
| | 5,053,008 | 10/01/91 | Bajaj | 604 | 104 | |
| | 5,041,126 | 08/20/91 | Gianturco | 606 | 195 | |
| | 5,019,088 | 05/28/91 | Farr | 606 | 159 | |
| | 5,011,488 | 04/30/91 | Ginsburg | 606 | 159 | |
| | 5,007,917 | 04/16/91 | Evans | 606 | 170 | |
| | 5,007,896 | 04/16/91 | Shiber | 604 | 22 | |
| | 5,002,560 | 03/26/91 | Machold et al. | 606 | 198 | |
| | 4,998,539 | 03/12/91 | Delsanti | 128 | 898 | |
| | 4,986,807 | 01/22/91 | Farr | 604 | 22 | |
| | 4,979,951 | 12/25/90 | Simpson | 606 | 159 | |
| | 4,969,891 | 11/13/90 | Gewertz | 606 | 200 | |
| | 4,957,482 | 09/18/90 | Shiber | 604 | 22 | |
| | 4,955,895 | 09/11/90 | Sugiyama et al. | 606 | 194 | |
| | 4,950,277 | 08/21/90 | Farr | 606 | 159 | |
| | 4,926,858 | 05/22/90 | Gifford, III et al. | 606 | 159 | |
| | 4,921,484 | 05/01/90 | Hillstead | 604 | 104 | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



| | | |
|---|-----------------------------------|---------------------------|
| FORM PTO-1449 | Atty. Docket No.: 1001.1507101 | Serial No.: 09/912,862 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Krolik et al. | |
| | Filing Date: July 25, 2001 | Group Art: Unknown |

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|---------------------|--------------|----------|-------------------------|-------|--------------|-------------------------------|
| ✓ | 4,921,478 | 05/01/90 | Solano et al. | 604 | 53 | |
| | 4,907,336 | 03/13/90 | Gianturco | 29 | 515 | |
| | 4,898,575 | 02/06/90 | Fischell et al. | 604 | 22 | |
| | 4,873,978 | 10/17/89 | Ginsburg | 128 | 345 | |
| | 4,867,157 | 09/19/89 | McGurk-Burleson et al. | 128 | 305 | |
| | 4,857,046 | 08/15/89 | Stevens et al. | 604 | 22 | |
| | 4,857,045 | 08/15/89 | Rydell | 604 | 22 | |
| | 4,842,579 | 06/27/89 | Shiber | 606 | 22 | |
| | 4,807,626 | 02/28/89 | McGirr | 128 | 328 | |
| | 4,800,882 | 01/31/89 | Gianturco | 128 | 343 | |
| | 4,794,931 | 01/03/89 | Yock | 128 | 660.03 | |
| | 4,794,928 | 01/03/89 | Kletschka | 128 | 344 | |
| | 4,790,813 | 12/13/88 | Kensey | 604 | 22 | |
| | 4,790,812 | 12/13/88 | Hawkins, Jr. et al. | 604 | 22 | |
| | 4,733,665 | 03/29/88 | Palmaz | 128 | 343 | |
| | 4,728,319 | 03/01/88 | Masch | 604 | 22 | |
| | 4,723,549 | 02/09/88 | Wholey et al. | 128 | 344 | |
| | 4,706,671 | 11/17/87 | Weinrib | 128 | 348.1 | |
| | 4,705,517 | 11/10/87 | DiPisa, Jr. | 623 | 12 | |
| | 4,662,885 | 05/05/87 | DiPisa, Jr. | 623 | 12 | |
| | 4,650,466 | 03/17/87 | Luther | 604 | 95 | |
| | 4,643,184 | 02/17/87 | Mobin-Uddin | 128 | 303 | |
| | 4,631,052 | 12/23/86 | Kensey | 604 | 22 | |
| | 4,619,246 | 10/28/86 | Molgaard-Nielsen et al. | 128 | 1 | |
| | 4,590,938 | 05/27/86 | Segura et al. | 128 | 328 | |
| | 4,580,568 | 04/08/86 | Gianturco | 128 | 345 | |

EXAMINER:

DATE CONSIDERED:

6/9/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449


 Atty. Docket No.:
1001.1507101

 Serial No.:
09/912,862

 LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

 Applicant:
Krolik et al.

 Filing Date:
July 25, 2001

 Group Art:
Unknown

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|---------------------|--------------|----------|---------------------|-------|--------------|-------------------------------|
| ✓N | 4,447,227 | 05/08/84 | Kotsanis | 604 | 95 | |
| | 4,425,908 | 01/17/84 | Simon | 128 | 1 | |
| | 4,046,150 | 09/06/77 | Schwartz et al. | 128 | 328 | |
| | 3,996,938 | 12/14/76 | Clark, III | 128 | 348 | |
| | 3,952,747 | 04/27/76 | Kimmell, Jr. | 128 | 303R | |
| | 3,889,657 | 06/17/75 | Baumgarten | 128 | 2 | |
| | 3,683,904 | 08/15/72 | Forster | 128 | 127 | |
| | 3,592,186 | 07/13/71 | Oster | 128 | 2R | |
| | 3,472,230 | 10/1969 | Fogarty | 128 | 328 | |
| | Re 33,569 | 04/1991 | Gifford, III et al. | 606 | 159 | |
| | B1 4,842,579 | 10/31/95 | Shiber | 604 | 22 | |

FOREIGN PATENT DOCUMENTS

| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|--------------|----------|---------|-------|--------------|-----------------------|
| ✓N | WO 00/49970 | 08/31/00 | WIPO | A61F | 2/01 | |
| | WO 00/16705 | 03/30/00 | WIPO | A61B | 17/22 | |
| | WO 00/09054 | 02/24/00 | WIPO | A61F | 7/12 | |
| | WO 00/07655 | 02/17/00 | WIPO | A61M | 29/00 | |
| | WO 99/58068 | 11/18/99 | WIPO | A61B | 17/22 | |
| | WO 99/55236 | 11/04/99 | WIPO | A61B | 17/00 | |
| | WO 99/44542 | 09/10/99 | WIPO | A61F | 2/06 | |
| | WO 99/44510 | 09/10/99 | WIPO | A61B | 17/00 | |
| | EP 0 934 729 | 08/11/99 | WIPO | A61B | 17/22 | |
| | WO 99/42059 | 08/26/99 | WIPO | A61F | 2/06 | |
| | WO 99/40964 | 08/19/99 | WIPO | A61M | 29/02 | |

EXAMINER: *lgm*DATE CONSIDERED: *8/9/02*

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449

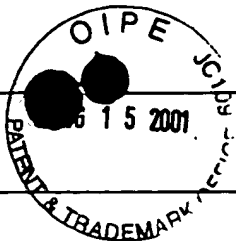
Atty. Docket No.:
1001.1507101Serial No.:
09/912,862LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENTApplicant:
Krolik et al.Filing Date:
July 25, 2001Group Art:
Unknown

| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|---|-----------------|----------|---------|-------|-----------|-----------------------|
| ✓ | WO 99/30766 | 06/24/99 | WIPO | A61M | 29/00 | |
| | WO 99/25252 | 05/27/99 | WIPO | A61B | 17/00 | |
| | WO 99/23976 | 05/20/99 | WIPO | A61F | 2/01 | |
| | WO 99/22673 | 05/14/99 | WIPO | A61F | 2/01 | |
| | FR 2 768 326 A1 | 03/19/99 | FR | A61F | 02/01 | English Abstract |
| | WO 99/09895 | 03/04/99 | WIPO | A61B | 17/12 | |
| | WO 98/55175 | 12/10/98 | WIPO | A61M | 29/00 | |
| | WO 98/51237 | 11/19/98 | WIPO | A61F | 2/01 | |
| | WO 98/50103 | 11/12/98 | WIPO | A61M | 29/00 | |
| | WO 98/49952 | 11/12/98 | WIPO | A61B | 17/32 | |
| | WO 98/47447 | 10/29/98 | WIPO | A61F | 2/06 | |
| | WO 98/46297 | 10/22/98 | WIPO | A61M | 29/00 | |
| | WO 98/39053 | 09/11/98 | WIPO | A61M | 29/00 | |
| | WO 98/39046 | 09/11/98 | WIPO | A61M | 25/00 | |
| | WO 98/38929 | 09/11/98 | WIPO | A61B | 17/22 | |
| | WO 98/38920 | 09/11/98 | WIPO | A61B | 17/00 | |
| | WO 98/36786 | 08/27/98 | WIPO | A61M | 5/32 | |
| | WO 98/34673 | 08/13/98 | WIPO | A61M | 31/00 | |
| | WO 98/33443 | 08/06/98 | WIPO | A61B | 17/22 | |
| | EP 0 852 132 A1 | 07/08/98 | EPO | A61F | 2/01 | English Abstract |
| | WO 98/23322 | 06/04/98 | WIPO | A61M | 29/00 | |
| | WO 98/02084 | 01/22/98 | WIPO | A61B | | |
| | WO 98/02112 | 01/22/98 | WIPO | A61F | 2/01 | English Abstract |
| | WO 97/42879 | 11/20/97 | WIPO | A61B | 17/00 | |
| | WO 97/27808 | 08/07/97 | WIPO | A61B | 17/22 | |
| | EP 0 784 988 A1 | 07/23/97 | EPO | A61M | 5/165 | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



| | | |
|---|-----------------------------------|---------------------------|
| FORM PTO-1449 | Atty. Docket No.: 1001.1507101 | Serial No.: 09/912,862 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Krolik et al. | |
| | Filing Date: July 25, 2001 | Group Art: Unknown |

| | | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|---|--|-----------------|----------|---------|-------|-----------|-----------------------|
| ✓ | | WO 97/17100 | 05/15/97 | WIPO | A61M | 29/00 | |
| | | EP 0 771 549 A2 | 05/07/97 | EPO | A61F | 2/01 | English Abstract |
| | | EP 0 759 287 A1 | 02/26/97 | EPO | A61F | 2/01 | English Abstract |
| | | EP 0 743 046 A1 | 11/20/96 | EPO | A61F | 2/01 | |
| | | WO 96/33677 | 10/31/96 | WIPO | A61F | 11/00 | |
| | | EP 0 737 450 A1 | 10/16/96 | EPO | A61F | 2/01 | |
| | | WO 96/23441 | 08/08/96 | WIPO | A61B | 5/00 | |
| | | JP 8-187294 A | 07/23/96 | JP | A61M | 29/00 | English Abstract |
| | | WO 96/19941 | 07/04/96 | WIPO | A61B | 17/00 | |
| | | WO 96/10375 | 04/11/96 | WIPO | A61F | 2/06 | |
| | | WO 96/01591 | 01/25/96 | WIPO | A61B | 17/22 | |
| | | EP 0 696 447 A2 | 02/14/96 | EP | A61F | 2/06 | |
| | | EP 0 686 379 A2 | 06/02/95 | EP | A61F | 2/06 | |
| | | EP 0 655 228 A1 | 11/22/94 | EPO | A61F | 2/02 | English Abstract |
| | | WO 94/24946 | 11/10/94 | WIPO | A61B | 17/22 | |
| | | WO 94/14389 | 07/07/94 | WIPO | A61F | 2/02 | English Abstract |
| | | EP 0 533 511 A1 | 03/24/93 | EP | A61M | 29/02 | |
| | | FR 2 666 980 | 03/27/92 | FR | A61F | 2/02 | English Abstract |
| | | WO 92/03097 | 03/05/92 | WIPO | A61B | 17/00 | |
| | | EP 0 472 368 A2 | 02/26/92 | EP | A61B | 17/22 | |
| | | EP 0 472 334 A1 | 02/26/92 | EP | A61F | 2/02 | |
| | | EP 0 437 121 B1 | 07/17/91 | EP | A61F | 2/02 | |
| | | EP 0 427 429 A2 | 05/15/91 | EPO | A61M | 25/10 | |
| | | EP 0 411 118 A1 | 02/06/91 | EP | A61M | 25/00 | |
| | | DE 40 30 998 A1 | 10/1990 | Germany | A61F | 2/01 | |
| ✓ | | FR 2 643 250 A1 | 08/24/90 | FR | A61B | 17/00 | English Abstract |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449

Atty. Docket No.:
1001.1507101Serial No.:
09/912,862LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENTApplicant:
Krolik et al.Filing Date:
July 25, 2001Group Art:
Unknown

| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|---|-----------------|----------|----------|-------|-----------|-----------------------|
| ✓ | EP 0 293 605 A1 | 12/07/88 | EP | A61F | 2/02 | |
| | FR 2 580 504 | 10/24/86 | FR | A61M | 1/00 | English Abstract |
| | EP 0 200 688 | 11/05/86 | EP | A61B | 17/22 | |
| | DE 34 17 738 | 11/14/85 | DE | A61M | 1/34 | Yes |
| | SU 764684 | 09/25/80 | U.S.S.R. | A61M | 25/00 | Yes |
| | UK 2 020 557 B | 01/19/83 | UK | A61B | 17/50 | |
| g | DE 28 21 048 | 07/17/80 | DE | A61B | 17/22 | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|---|---|
| ✓ | "Atherosclerotic Disease of the Aortic Arch as a Risk Factor of Recurrent Ischemic Stroke," <u>The New England Journal of Medicine</u> , pp. 1216-1221 (May 1996) |
| f | "Endovascular Grafts, Stents Drive Interventional Radiology Growth," <u>Cardiovascular Device Update</u> , 2(3):1-12 (March 1996) |
| | "Protruding Atheromas in the Thoracic Aortic and Systemic Embolization," pp. 423-427 <u>American College of Physicians</u> (1991) |
| | "Recognition and Embolic Potential of Intraaortic Atherosclerotic Debris," <u>American College of Cardiology</u> (January 1991) |
| | Cragg, Andrew et al., "A New Percutaneous Vena Cava Filter," <u>AJR</u> , 141:601-604 (September 1983) |
| | Cragg, Andrew et al., "Nonsurgical Placement of Arterial Endoprosthesis: A New Technique Using Nitinol Wire," <u>AJR</u> , pp. 261-263 (April 1983) |
| | Diethrich et al., "Percutaneous Techniques for Endoluminal Carotid Interventions," <u>J. Endovasc. Surg.</u> , 3:182-202 (1996) |
| | Fadali, A. Moneim, "A filtering device for the prevention of particulate embolization during the course of cardiac surgery," <u>Surgery</u> , 64(3):634-639 (September 1968) |
| | Haissaguerre et al., "Spontaneous Initiation of Atrial Fibrillation by Ectopic Beats Originating in the Pulmonary Veins," <u>The New England Journal of Medicine</u> , 339(10):659-666 (September 1988) |
| g | Jordan, Jr. et al., "Microemboli Detected by Transcranial Doppler Monitoring...", <u>Cardiovascular Surgery</u> , 7(1)33-38 (January 1999) |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | |
|---|-----------------------------------|---------------------------|
| FORM PTO-1449 | Atty. Docket No.: 1001.1507101 | Serial No.: 09/912,862 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Krolik et al. | |
| | Filing Date: July 25, 2001 | Group Art: Unknown |

| | | |
|---|--|---|
| ✓ | | Lesh, "Can Catheter Ablation Cure Atrial Fibrillation?" <u>ACC Current Journal Review</u> , pp. 38-40 (September/October 1997) |
| | | Lund et al., "Long-Term Patentcy of Ductus Arteriosus After Balloon Dilation: an Experimental Study," <u>Laboratory Investigation</u> , 69(4):772-774 (April 1984) |
| | | Marache et al., "Percutaneous Transluminal Venous Angioplasty...", <u>American Heart Journal</u> , 125(2 Pt 1):362-366 (February 1993) |
| | | Mazur et al., "Directional Atherectomy with the Omnicath™: A Unique New Catheter System," <u>Catheterization and Cardiovascular Diagnosis</u> , 31:17-84 (1994) |
| | | Moussa, MD, Issaam "Stents Don't Require Systemic Anticoagulation...But the Technique (and Results) Must be Optimal," <u>Journal of Invasive Cardiol.</u> , 8(E):3E-7E, (1996) |
| | | Nakanishi et al., "Catheter Intervention to Venous System Using Expandable Metallic Stents," <u>Rinsho Kyobu Geka</u> , 14(2):English Abstract Only (April 1994) |
| | | Onal et al., "Primary Stenting for Complex Atherosclerotic Plaques in Aortic and Iliac Stenoses," <u>Cardiovascular & Interventional Radiology</u> , 21(5):386-392 (1998) |
| | | Theron et al., "New Triple Coaxial Catheter System for Carotid Angioplasty with Cerebral Protection," <u>American Journal of Neuroradiology</u> , 11:869-874 (1990) |
| | | Tunick et al., "Protruding atherosclerotic plaque in the aortic arch of patients with systemic embolization: A new finding seen by transesophageal echocardiography," <u>American Heart Journal</u> 120(3):658-660 (September 1990) |
| | | Waksman et al., "Distal Embolization is Common After Directional Atherectomy...", <u>American Heart Journal</u> , 129(3):430-435 (1995) |
| ✓ | | Wholey, Mark H. et al., PTA and Stents in the Treatment of Extracranial Circulation," <u>The Journal of Invasive Cardiology</u> , 8(E):25E-30E (1996) |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.